

# ISRI DOT Self-Audit Checklist



**ISRI**

*Voice of the Recycling Industry*

Institute of  
Scrap Recycling  
Industries, Inc.

# Purpose and Scope

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Being in compliance with this self-audit checklist does not mean that a motor carrier or an individual driver is exempt from monetary fines and penalties. The purpose of this audit is to identify opportunities where management can improve a motor carrier's overall safety performance and safety management controls.

Checklist framework comprised of six components:

- 1) **General Responsibilities:** Minimum level of financial responsibilities, and general requirements.
- 2) **Driver Responsibilities:** Controlled substances / alcohol, commercial driver's license, and driver qualification.
- 3) **Operational Responsibilities:** Driving of commercial motor vehicles, and hours-of-service.

## Checklist Framework Continued:

- 4) **Vehicle Responsibilities:** Parts and accessories, inspection, repair and maintenance.
- 5) **Hazmat Responsibilities (if applicable):** Transportation of hazardous materials, driving and parking rules (packaging, loading, unloading, and etc.).
- 6) **Crash Responsibilities:** Recordable accident rate for the previous 12 months. (Inadequate control: urban carriers > than 1.7, all other carriers > than 1.5).

# User Recommendations

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- We recommend that you perform the audit in portions, over a two – three day period.
- We recommend that you conduct this self-audit annually.
- We recommend that you seek the assistance of a safety consultant or legal counsel if you need assistance.

# Q & A Ramp Ahead

